

**Executive Board First Regular Session** 

Rome, 13-15 February 2012

## EVALUATION REPORTS

Agenda item 6

For consideration



Distribution: GENERAL WFP/EB.1/2012/6-A/Add.1

23 January 2012 ORIGINAL: ENGLISH MANAGEMENT RESPONSE TO
THE RECOMMENDATIONS OF
THE SUMMARY REPORT OF THE
STRATEGIC EVALUATION –
FROM FOOD AID TO FOOD
ASSISTANCE: WORKING IN
PARTNERSHIP

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### NOTE TO THE EXECUTIVE BOARD

#### This document is submitted to the Executive Board for consideration

The Secretariat invites members of the Board who may have questions of a technical nature with regard to this document to contact the WFP staff focal points indicated below, preferably well in advance of the Board's meeting.

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#### **BACKGROUND**

1. For WFP, the ultimate aim of partnership is to maximize synergies with a view to optimizing the assistance and protection provided to people vulnerable to food insecurity. WFP appreciates the importance of effective partnerships to achieving its objectives, and places high value on this evaluation's findings and recommendations.

- 2. In the context of WFP's strategic shift from food aid to food assistance, envisaged in the Strategic Plan (2008–2013), the Secretariat views as somewhat limited the evaluation's findings and recommendations. WFP's partnerships have a broader intent of including a wide spectrum of diverse partnership activities. The evaluation has given rise to observations about the constraints and challenges that WFP currently faces, including comments on existing practices, procedures and lessons learned, and views about future trends and scenarios.
- 3. The responses and actions summarized in the attached matrix should be considered in a broader context of actions associated with moving from food aid to food assistance, and not in the more limited context presented in the evaluation report.



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## MANAGEMENT RESPONSE TO THE RECOMMENDATIONS OF THE SUMMARY REPORT OF THE STRATEGIC EVALUATION – FROM FOOD AID TO FOOD ASSISTANCE: WORKING IN PARTNERSHIP

Recommendations	Action by	Management response and action taken	Implementation deadline
Recommendation 1: WFP should empower the Executive Management Council, reporting to the Executive Director, to articulate a comprehensive partnership strategy, including a communications strategy. This strategy should address issues raised during the evaluation, including:  > defining partnership and partnership principles for WFP;  > clarifying how WFP's strategic transformation to food assistance relates to partnership;  > addressing internal and external communications about partnerships; and  > addressing procedures for working in partnership and incentives to support new approaches.	Operations Department (OD)/Communications, Public Policy and Private Partnerships Division (CP)	Partially agreed.  WFP recognizes the importance of improving understanding of its role and shift from a food aid to a food assistance approach. It will continue to enhance its communication strategy in line with the stages of the mid-term review of the current strategic plan and development of the new plan scheduled for 2013.  The evaluation does not provide adequate evidence that WFP's approach to partnering would benefit from developing a comprehensive partnership strategy. The diversity and complexity of partnerships across WFP's various functions bring into question the value and cost-effectiveness of such an exercise. Significant investments and efforts are being made to strengthen partnerships with private sector, non-governmental organization (NGO) and United Nations partners under existing mechanisms.	Ongoing
Recommendation 2: WFP should consider additional resources to enhance its capacity in nutrition and health, and build partnership skills, including: increased training for all staff; direct outreach to external partners in order to better engage them in determining what constitutes good partnership; and specific incentives for managers to ensure that they demonstrate leadership in promoting a new partnership strategy. WFP should allocate new resources or redirect existing resources to address capacity gaps in nutrition. In order to build partnering capacity, actions are needed in a broad range of areas, including orientation, managerial leadership and the overall management of change within WFP.	Office of Food Safety, Quality and Nutrition (when established)/Programme Design Service (ODXP)/ Policy, Planning and Strategy Division (PS)  ODXP	Agreed.  WFP will continue to increase and improve capacity across the organization – including in ODXP, PS, the Office of the Executive Director and the Private Partnerships Branch – to maximize the nutritional impact of food assistance.  In 2012, WFP will establish the Office of Food Safety, Quality and Nutrition, which will ensure appropriate food technology and food quality expertise at the country level, develop evidence-based nutrition programming guidance, engage in global nutrition policy dialogues and enhance partnerships.  WFP has identified priority countries in which to scale up interventions to address high rates of undernutrition, and has defined the number of staff, and the skills, knowledge, etc. required in different offices for implementing the nutrition strategy.	November 2011



## MANAGEMENT RESPONSE TO THE RECOMMENDATIONS OF THE SUMMARY REPORT OF THE STRATEGIC EVALUATION – FROM FOOD AID TO FOOD ASSISTANCE: WORKING IN PARTNERSHIP

Recommendations	Action by	Management response and action taken	Implementation deadline
		A new nutrition policy will present WFP's vision, mission and strategy for nutrition, and outline steps for implementation with partners.	February 2012
	PS	PS and ODXP will support regional bureaux and country offices in developing regional nutrition strategies with other stakeholders.	Ongoing
	ODXP/PS	WFP will develop a guidance document for country directors, deputy country directors and nutrition focal points on implementing the nutrition policy, and on harmonization and alignment of the partnership structure at Headquarters.	February 2012
		In addition, ODXP will advocate for:	
		<ul> <li>better utilization of existing staff with nutrition backgrounds and skills, and priority to the recruitment of staff with nutrition expertise;</li> <li>recruitment of staff through an external roster of</li> </ul>	Ongoing  January 2012
		nutrition experts; - developing programme staff's capacity to design and support nutrition programmes, and developing the knowledge and skills of other functional roles in logistics, procurement, etc.;	March 2012
		<ul> <li>designing a capacity development strategy and guidance materials, resources, training courses, e-learning modules and a repository of best</li> </ul>	April 2012
		practices in nutrition for all staff; and - creating a career development plan for WFP nutrition staff.	October 2012



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Recommendations	Action by	Management response and action taken	Implementation deadline
Recommendation 3: WFP should enter into discussions with United Nations partners, especially the Food and Agriculture Organization of the United Nations (FAO), the United Nations Children's Fund (UNICEF), and the World Health Organization (WHO), to clarify roles and responsibilities in relation to WFP's shift to a food-assistance model, specifically with respect to mutual roles and responsibilities related to nutrition. WFP should develop an agreement between United Nations agencies that clarifies roles and responsibilities, and includes a review and updating mechanism that enables it to evolve in response to changing circumstances.	Multilateral and NGO Relations Division (ERM)/PS/ Nutrition Partnerships and Interagency Affairs Unit	Agreed.  WFP will continue to engage with United Nations partners to promote harmonized guidance and ensure that partners' roles and responsibilities are clearly defined at all levels. At the global level, WFP is involved in reform of the United Nations Standing Committee on Nutrition (UNSCN), which aims to put to best use the normative expertise and operational strengths of United Nations agencies engaged in nutrition. Through the UNSCN Executive Committee, WFP contributes to guiding UNSCN efforts, reducing the duplication of efforts, and facilitating greater complementarity and coherence among organizations.  WFP will also continue its engagement in the Scaling Up Nutrition (SUN) Movement. This work will influence policy reform for the development of relationships with governments as well as international partnerships based on the normative, specialized, evaluative and operational functions of these agencies: FAO, UNICEF, WHO, WFP, the United Nations  Development Programme (UNDP), the United Nations Entity for Gender Equality and the Empowerment of Women (UN-Women), the International Fund for Agricultural Development (IFAD), and the United Nations Educational Scientific and Cultural Organization (UNESCO). It will also build on inter-agency mechanisms such as the REACH initiative to end child hunger and undernutrition, and the UNSCN and Inter-Agency Standing Committee (IASC) clusters. At the country level, WFP will continue to support REACH, which is the main mechanism for providing harmonized support to nutrition in SUN countries.  FAO, WHO, UNICEF and WFP have signed a Memorandum of Understanding (MOU) to formalize their partnership.	Ongoing  January 2012  December 2011



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Recommendation 4: WFP should amend its global and (if relevant) regional framework agreements with other United Nations organizations to reflect new conditions and to incorporate aspects of good partnering agreements. WFP's agreements should be updated to reflect its current strategic directions, the new directions of its partners, the implications of the shift in development assistance over the past decade and elements of good partnering.	PS/OD	Agreed.  WFP has established partnerships with other United Nations organizations, reflecting the contexts in which they operate together. In addition to the MOUs signed with UNDP and the United Nations Population Fund (UNFPA) in 2010, WFP signed a new MOU with the United Nations Environment Programme (UNEP) in April 2011. Existing MOUs with the Office of the United Nations High Commissioner for Refugees (UNHCR) and UNICEF were updated in January and April 2011 respectively, to reflect current realities. A joint statement of action was signed with UN-Women in September 2011, for empowering rural women and promoting gender equality. United Nations partners at various levels were consulted for the mid- term review of the Strategic Plan (2008–2013); the results guided preparation of the next strategic plan.	Implemented with ongoing enhancements
Recommendation 5: WFP should consider developing a mechanism to complement the standardized field-level agreements (FLAs) and lay out mutual expectations between WFP and local partners with respect to the mutual exercise of good-partnership practices. WFP should develop a mechanism for use at the country level that enables it and its NGO partners to agree on how the principles of good partnership are put into practice between WEP	ERM/OD	Agreed. In collaboration with NGO partners, WFP is reviewing the standard FLA to reflect the transition to food assistance. An internal FLA working group, with technical representation from WFP stakeholder divisions, is reviewing the process and updating the guidance. A mechanism will be established to guide country offices and ensure shared expectations and	September 2012

partners.

partnership practices between WFP and its NGO



good partnership are put into practice between WFP

country offices and NGOs.

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Recommendation 6: WFP should consider amending its project planning and reporting systems to include specific references to good partnership and partnership-related outcomes, and to promote the longer-term approach needed to sustain partnerships and contribute to capacity development. Existing models should be amended to strengthen the monitoring and evaluation of partnerships, and partnership-related outcomes. It is important to recognize that indicators related to good partnership are needed to encourage managers to adopt recommended behaviours and process changes. Given the limitations of the project-based planning model, WFP should consider amending country-level planning to recognize the continuity necessary for promoting good partnerships and sustainable capacity development, which are core to the strategic transformation. A series of pilots with different types of projects or countries should be used to test and refine indicators for monitoring and evaluating partnerships in WFP's planning and reporting systems.	Programme Division (ODX)	Agreed. WFP's commitment to working with governments and other national partners in "building together" underlies its guidance material for capacity development. Governments and WFP will lead country-based consultation processes with stakeholders to promote national ownership of hunger solutions, as outlined in the recently refined Strategic Results Framework. The Hand-over and Partnerships Branch has incorporated a national capacity index for the planning and implementation of each hunger solution. WFP's new financial framework will provide the resources needed for longer-term support to governments. Cash-funded technical assistance projects will build systems and the capacity of governments and other national partners.	June 2012
Recommendation 7: WFP should expand and formalize the country-level partnership evaluation system based on the principle of mutual accountability; an example to build on was seen in Kenya. WFP should develop an evaluation tool that enables a mutual assessment by partners of their strengths and weaknesses in the partnership. These may range from the contributions of partnership to delivery, quality and timeliness to communications, transparency and other aspects of partnership management and effectiveness.	ERM/ODX	Partially agreed.  WFP recognizes the value of the country-led partnership evaluation system in Kenya. However, it also recognizes the importance of a larger consultative process involving other partners in United Nations country teams, led by the Resident Coordinator, and humanitarian country teams, led by the Humanitarian Coordinator. This recommendation will be implemented within the agenda and work undertaken by the United Nations Development Group (UNDG) and the IASC.	Ongoing



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#### ACRONYMS USED IN THE DOCUMENT

CP Communications, Public Policy and Private Partnerships Division

ERM Multilateral and NGO Relations Division

FAO Food and Agriculture Organization of the United Nations

FLA field-level agreement

IASC Inter-Agency Standing Committee

IFAD International Fund for Agricultural Development

MOU Memorandum of Understanding NGO non-governmental organization

OD Operations Department
ODX Programme Division

ODXP Programme Design Service

PS Policy, Planning and Strategy Division

SUN Scaling Up Nutrition

UNDG United Nations Development Group

UNDP United Nations Development Programme
UNEP United Nations Environment Programme

UNESCO United Nations Educational, Scientific and Cultural Organization

UNICEF United Nations Children's Fund

UNSCN United Nations Standing Committee on Nutrition

UN-Women United Nations Entity for Gender Equality and the Empowerment of

Women

WHO World Health Organization

