

WFP/Saikat Mojumder

**SAVING** LIVES **CHANGING** LIVES

# **Executive Board, Induction**

Bonnie Green, Chief Ethics Officer and Director, Ethics Office

September 2018

# "Relativity applies to physics, not ethics." **Albert Einstein**

**Vorld Food Programme** 





# **Ethics in an organization**

- About how we do what we do
- Based on institutional values, principles and standards
- Acting per expected standards of conduct
- Ethically conscious decision making
- Integrity-based actions and results
- Strong Ethical Culture
- Fundamental to an effective, competent, and trustworthy organization



WFP/Mohammed Al Bah



## **Ethics in WFP**

#### **Values, Principles and Standards:**

- respect, dignity,
- integrity, impartiality, competence, discretion
- accountability
- culture
- UN Charter
- Standards of Conduct for the International Civil Service (2013)
- WFP Code of Conduct and applicable administrative issuances/rules/guidelines
- Humanitarian Principles
- "Do No Harm"



#### WFP Code of Conduct

"The way we work together at WFP to save lives and help hungry and vulnerable people is what makes us stand out. And we must always do that in ways that uphold our highest values, with a sense of personal responsibility to our co-workers, our donors and those whom we serve. Thank you for all you do every day, and for doing it with integrity." David Beasley, Executive Director

WE CONTRIBUTE to the WFP mission of promoting food security and fighting hunger worldwide, serving the ideals of peace, respecting fundamental human rights, economic and social progress, and international cooperation, adhering to highest standards of conduct and all the principles and values enshrined in the United Nations Charter - fundamental human rights, social justice, the dignity and worth of the human person and respect for the equal rights of men and women.

I COMMIT to carry out my duties and conduct myself, both in public and private life, with competence, integrity, impartiality, independence and discretion.

#### IUNDERTAKE

- to abide by WFP regulations and rules, as well as my contract, and to report any breach of them, understanding I have a right to be protected from
- to contribute to building a harmonious workplace, based on mutual respect and understanding, free from discrimination, harassment, sexual harassment and abuse of authority, with respect for diversity
- to respect the rights, dignity and safety of the people WFP assists and to act impartially and fairly. WFP upholds a zero-tolerance policy to sexual expolication and abuse
- to base my actions on honesty, truthfulness, impartiality and incorruptibility, reporting any practice or attempted practice of fraudulent, corrupt and collusive behaviours
- to perform my official duties and conduct my private affairs in a manner that avoids actual, apparent and potential conflicts of interest or reflects unfavourably on WFP. If a conflict of interest should arise, I undertake to disclose it
- not to accept any personal honour, favour, gift, remuneration or economic benefit from any source external to WFP without authorization
- to protect confidential information, not using it for unauthorized purposes or disclosing it to unauthorized persons
- to use and safeguard the assets, property, information and other WFP resources with care, efficiency, effectiveness and integrity and for authorized purposes only.

I RECOGNIZE privileges and immunities enjoyed by WFP employees are conferred solely in the interests of WFP. I am not exempted from observing local laws or respecting private legal or financial obligations.

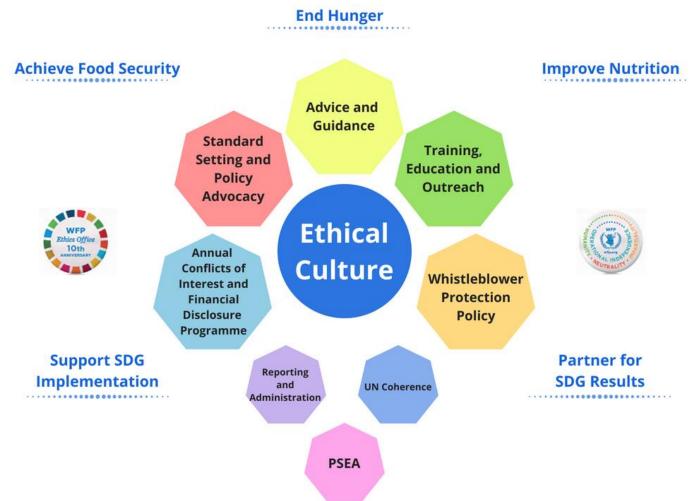
I AM accountable and answerable for all my actions, decisions and commitments in the performance of my functions.

For more information, access newgo.wfp.org/about/ethics-office or contact the Ethics Office at wfp.ethics@wfp.org

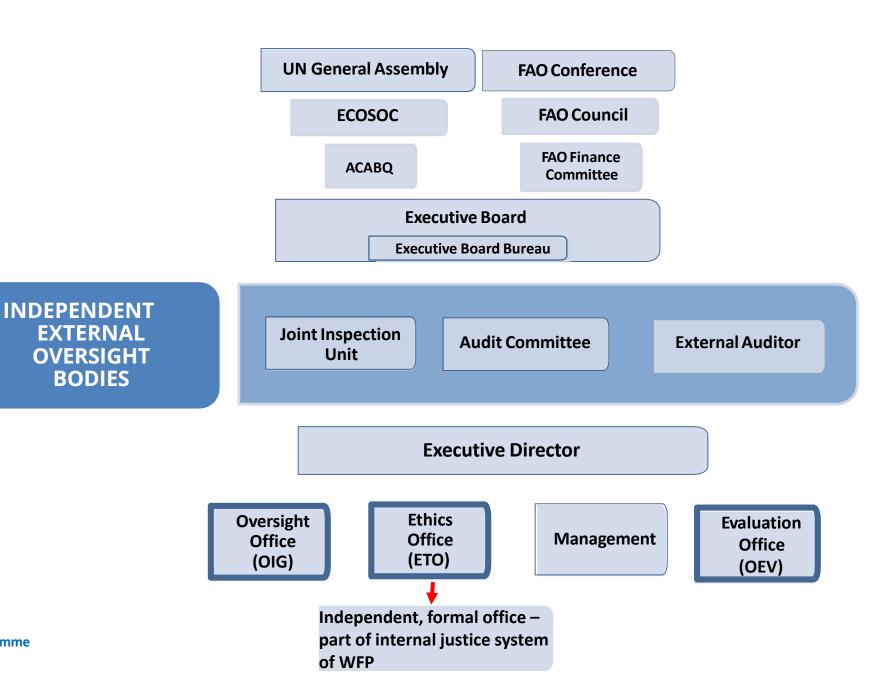


November 2017

## **Mandate**





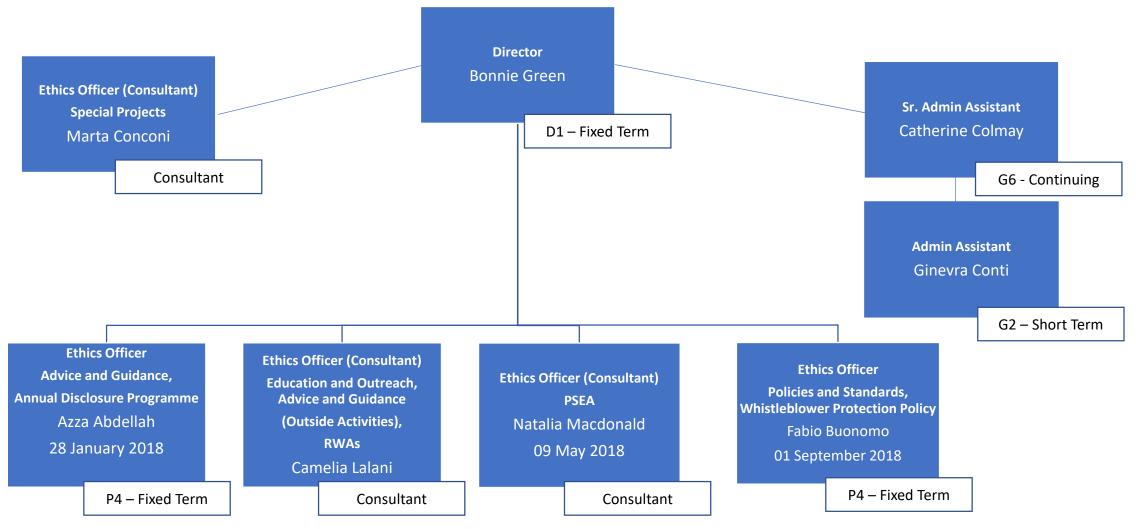




Adapted from: 'Leveraging COSO Across the Three Lines of Defense', Institute of Internal Auditors, July 2015



# **Ethics Office Organogram**

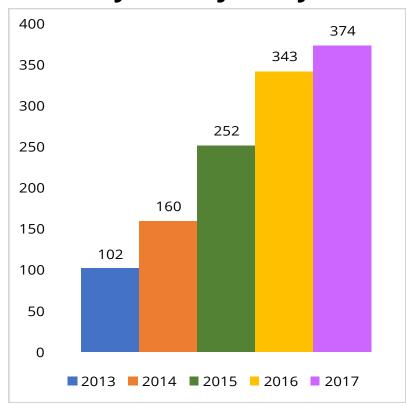




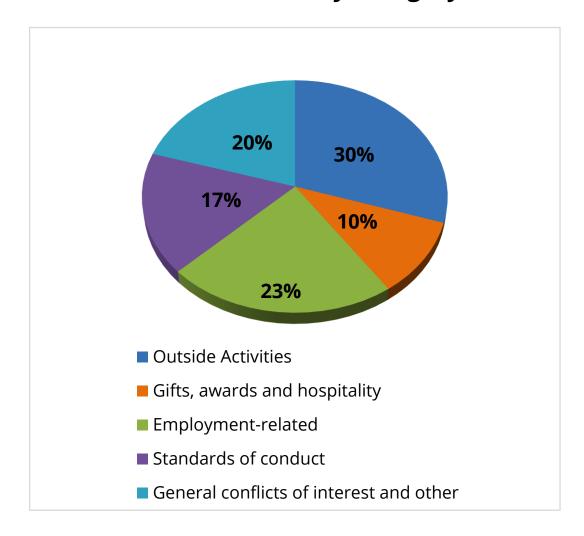
## **Advice and Guidance**

### **Recorded Numbers**

### **Six-year trajectory**



### **2017 Advice and Guidance by Category**





# **Conflict of Interest**



# **Annual Conflicts of Interest and Financial Disclosure Programme**

<u>Purpose:</u> Identify and Mitigate Conflicts of Interest; Risk Management; Transparency Tool

#### **Participants:**

- Senior levels
- Oversight, Investment (Treasury),
   Procurement and Legal,
   excluding the Administrative and
   Employment Law Branch
- Others with material procurement authority
- Employees on vendor management committees or with regular access to confidential procurement information/documents

#### **Three Components:**

- Conflicts of Interest
   (COI) Questionnaire
- Eligibility
   Questionnaire
- Financial Disclosure (FD) Statement



WFP/Rein Skullerud

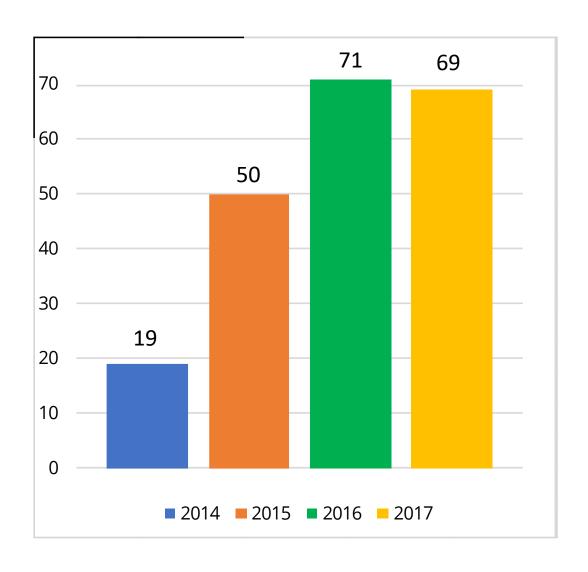
2018: 1827

2017: **Population of: 1697** 

- 100% compliance
- 600 submissions flagged as possible conflicts of interest and reviewed
- 34 outside activities identified for complete reviews through the Ethics Office standard practices \*
- 66 ad hoc exercise submissions reviewed



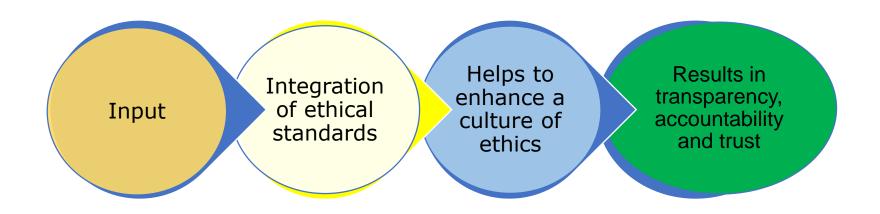
# **Standard Setting and Policy Advocacy**





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# **Standard Setting and Policy Support**



# Protection Against Retaliation – Whistleblower Protection Policy

#### Purpose:

Enable reporting of misconduct without retaliation

#### Scope:

Report of misconduct in good faith, and/or Cooperation with a duly authorised investigation or audit

#### Role:

Determine whether there is a *prima facie* case of retaliation Make recommendations as to protection measures



#### Applicability:

All WFP personnel: national and international staff members, consultants, interns, service contract holders, special service agreement holders, volunteers and United Nations volunteers (UNVs)

## **Protection Against Retaliation**

Applicability: All WFP personnel: national and international staff members, consultants, interns, service contract holders, special service agreement holders, volunteers and United Nations volunteers (UNV).

#### 2017: three cases considered

Two cases continued from 2016 and one new case:

- Prima facie was established in one case with protection measures implemented
- No prima facie was established in one case with no protection measures implemented
- One case remained under review, per request of complainant



## **Education and Outreach**

#### **Awareness Campaigns**

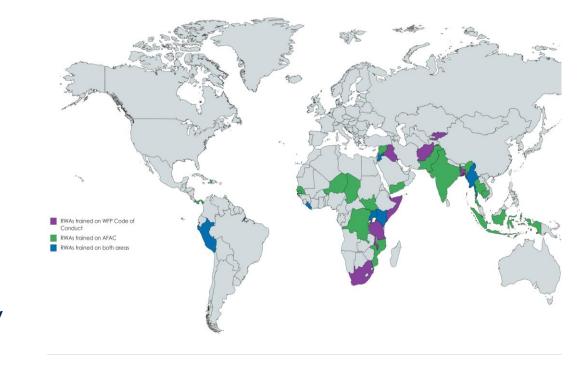
- Anti-Fraud and Anti-Corruption
- Gifts
- 16 Days of Activism against Gender-based violence Orange campaign – Guidance Note on Prostitution

#### **E-learning**

- Second module - A Focus on our Practical Obligations

#### **Live Presentations and Workshops**

- 10<sup>th</sup> Anniversary Campaign
- 556 employees trained directly
- 2,326 employees trained on separate topics indirectly
- 41 Respectful Workplace Advisors (RWAs) trained





















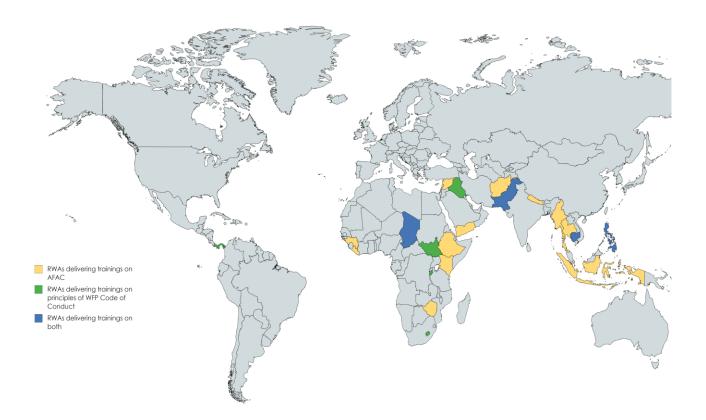








## **Education and Outreach**



Respectful Workplace Advisors (a/k/a "Ethics Ambassadors"):

#### **Code of Conduct:**

- 654 directly
- 6 countries/22 sub-offices

#### **AFAC:**

- 982 directly
- 8 countries/51 sub-offices
- plus, 490 indirectly



























## **Un Coherence**

- Member of the Ethics Panel of the United Nations (EPUN): UN Secretariat, UNDP, UNICEF, UNFPA, UNOPS, UNRWA, and UNHCR. Address issues of common interest and state of respective practices and policies
- Member of the Ethics Network for Multilateral Organizations (ENMO): Broader network Chair, 2017-2018 Host, 2017
- Rome-based agencies: The ethics officers of the three Rome-based agencies coordinate periodically, sharing best practices



WFP/Karel Prinsloo



# **Ethics Network of Multilateral Organizations 2018**

Chaired the Tenth Annual Conference of the Ethics Network of Multilateral Organizations (ENMO. As Chair, advocated for an expanded three and one-half day agenda enabling participation by distinguished guest speakers and additional panels.

"...You all play an important role in ensuring that international civil servants uphold the highest ethical standards... Thank you for contributing to ongoing efforts to enhance a culture of ethics and integrity in your organizations." (Secretary-General, July 2018)







"Without 'ethical' culture, there is no salvation for humanity." Albert Einstein



## **Contacts and Resources**

#### **Contacts:**

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Queries may be sent to the confidential mailbox

at: WFP.Ethics@wfp.org

#### **Resources:**

Ethics Office 2017 Annual Report:

https://docs.wfp.org/api/documents/9e6f76b197b b410a8961554758175a3f/download/

Ethics Office 2016 Annual Report Summary: <a href="http://newgo.wfp.org/documents/ethics-office-2016-annual-report-summary">http://newgo.wfp.org/documents/ethics-office-2016-annual-report-summary</a>

Ethics Office 2016 Annual Report (Annex IV of the Annual Performance Report for 2016):

http://documents.wfp.org/stellent/groups/public/documents/eb/wfp291465.pdf



