



OIG



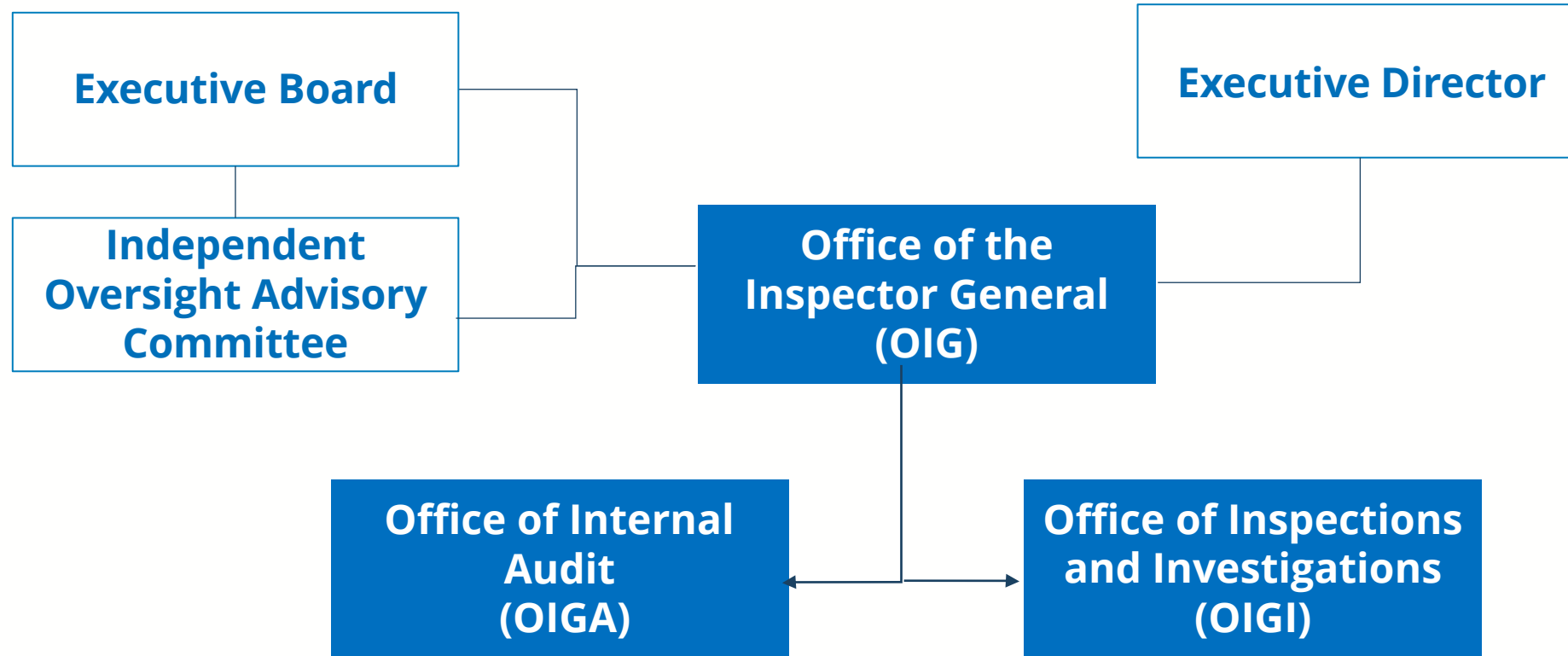
# Independent Oversight

## The Office of the Inspector General

7 October 2024

Helge Østtveiten, Inspector General a.i.

# OIG is an independent function, operating free of management influence or interference



# The OIG Charter describes our function and responsibilities

- The Charter gives OIG unrestricted access to all WFP records, physical properties and personnel and access to vendors, cooperating partners and other third parties in a contractual agreement with WFP.
- The Charter gives OIG the right to freely determine the issues for its review and how to review and assess the issues.
- OIG has organizational independence but a functional reporting line to the ED, ref previous slide. The Charter is approved by the EB.
- OIG's mission is:
  - To provide WFP's stakeholders assurance on the adequacy and effectiveness of WFP's governance, risk management, and control processes, detect and deter fraud, waste and abuse through the conduct of independent and objective audit and advisory services;
  - To conduct inspections and investigations into allegations of wrongdoing and misconduct;

# Internal Audit Services and Products

## OIGA delivers four different assurance and advisory products

- **1. Internal Audits:** A systematic, disciplined, risk-based approach to assessing the effectiveness, adequacy and application of WFP's governance, risk management, and control processes as well as the quality of performance with respect to the achievement of WFP's stated goals and objectives.
- **2. Consolidated Insights:** Analyses of common themes identified across previously issued internal audit reports
- **3. Proactive Integrity Reviews (PIRs):**
  - Formal reviews of anti-fraud controls based on fraud risk assessments.
  - Matters identified for follow-up resulting from a PIR are referred to the Hotline for possible investigation.
- **4. Advisory Services:** Aimed at improving the management of risks, adding value and strengthening WFP's operations.



# Inspections and Investigations Services and Products

## OIGI

- Receives complaints & manages the Complaint Reporting Mechanism
- Conducts **administrative investigations** into
  - allegations of misconduct, including alleged fraud and corruption, against WFP and its programmes
  - reported violations of Staff Rules and Regulations, policies, procedures, and other administrative matters
- Scope of Investigations cover:
  - **Internal investigations:** All types of staff misconduct
  - **External investigations:** Wrongdoing by vendors, cooperating partners and contractors
- Performs **Inspections**
  - An inquiry as a means of proactive investigation in areas of perceived risks - absent a specific reported allegation
  - Findings are referred to the Investigations Line for review, and possible investigation, when appropriate

### Intake of complaints

Intake and assessment of complaints – resulting in either investigations, closure, or referral to others for informal resolution (conduct) or action (fraud)

### Investigations

Determine whether specific allegations of fraud or abusive conduct can be substantiated

### Inspections

Inquiries that can be undertaken in an area of perceived risk absent any specific, reported allegation

# Disclosure or Reports - Overview

	Public Website	EB Restricted Portal	Request through Note Verbale	Internal to WFP not available
Office of the Inspector General (OIG)	<ul style="list-style-type: none"> <li>• <b>OIG Annual Report</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>OIG Quarterly Report</b></li> <li>• <b>EB Consultations</b></li> </ul>		
Office of Internal Audit (OIGA)	<ul style="list-style-type: none"> <li>• <b>Internal Audit Reports</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Annual Assurance Plan</b></li> <li>• <b>Consolidated Insights</b></li> <li>• <b>Proactive Integrity Review (PIR) Reports</b></li> </ul>		<ul style="list-style-type: none"> <li>• <b>Advisory Reports</b></li> <li>• <b>Information Notes</b></li> </ul>
Office of Inspections and Investigations (OIGI)		<ul style="list-style-type: none"> <li>• <b>Inspection Reports</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Anti-Fraud Anti-Corruption Violation [Fraud] Reports - Substantiated</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Harassment, Sexual Harassment, Abuse of Authority, Discrimination (HSHAAD) and SEA Investigation Reports (all)</b></li> <li>• <b>AFAC Violation [Fraud] Reports – unsubstantiated</b></li> </ul>
UN Secretary General	<ul style="list-style-type: none"> <li>• <b>Sexual Exploitation and Abuse (SEA) Reporting</b></li> </ul>			<ul style="list-style-type: none"> <li>• <b>Management memos</b></li> </ul>

# How to request Reports by Note Verbale

OIGI

## Anti-Fraud /Anti-Corruption Violation [Fraud] Investigations – substantiated)

EB Secretary announces list of completed reports to the EB Bureau after notification from OIGI that reports are available to request

Permanent  
Representatives  
accredited to WFP

## Prepare Note Verbale to request reports

Send request listing report title and number to the Inspector General ([wfp.inspectorgeneral@wfp.org](mailto:wfp.inspectorgeneral@wfp.org)) with copy to the Chief of Staff ([megan.latcovich@wfp.org](mailto:megan.latcovich@wfp.org)) and Executive Director [edcorrespondence@wfp.org](mailto:edcorrespondence@wfp.org)

Inspector  
General

## Reviews request

Determines if report can be issued as is, issued redacted, or must be withheld due to confidentiality or security concerns (rare)

Office of the IG

## Responds to Request

Sends report to member state (as is or redacted) or notifies member state of the reasons the report is being withheld (rare). OIG notifies the Regional Director, Country Director, Chief of Staff and ED that the report has been issued (or withheld)

# The Note Verbale

**All Permanent Representatives accredited to WFP may request copies of Substantiated Fraud Investigations Reports through Note Verbale.**

The following is an example of this request:

## **NOTE VERBALE**

*The Permanent Mission of (Country) to the Rome-based Food and Agriculture Agencies of the United Nations presents its compliments to the World Food Programme (WFP) and would like to inform that, in accordance with the revised Anti-Fraud and Anti-Corruption Policy approved by the Board at its Annual Session of 2021 and the revised Policy for Disclosure of Oversight Reports approved by the Executive Board at its Annual Session of 2021, the Government of (Country) requests the disclosure of the following report:*

- ***Provide Name(s) of Report(s) requested and Reference Number***

*The Permanent Mission of (Country) to the Rome-based Food and Agriculture Agencies of the United Nations avails itself of this opportunity to renew to the World Food Programme the assurances of its highest consideration.<sup>3</sup>*



# Helpful References

- **How to access an audit report online:** <https://www.wfp.org/audit-inspection-reports>
- **How to make a Hotline Report online:** <http://www.wfphotline.ethicspoint.com>
- **How to make a Hotline Report by email:** [investigationline@wfp.org](mailto:investigationline@wfp.org)
- **Contacting the Office of Inspector General:** [wfp.inspectorgeneral@wfp.org](mailto:wfp.inspectorgeneral@wfp.org)
- **Contacting the Inspector General a.i.(secure email):** [helge.osttveiten@wfp.org](mailto:helge.osttveiten@wfp.org)
- **How to Request substantiated Anti-Fraud Anti-Corruption Violation [Fraud] Investigation Reports:**  
[wfp.inspectorgeneral@wfp.org](mailto:wfp.inspectorgeneral@wfp.org)